# Knowledge or Gaming? Cognitive Modelling Based on Multiple-Attempt Response

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ABSTRACT

Recent decades have witnessed the rapid growth of intelligent tutoring systems (ITS), in which personalized adaptive techniques are successfully employed to improve the learning of each individual student. However, the problem of using cognitive analysis to distill the knowledge and gaming factor from students learning history is still underexplored. To this end, we propose a Knowledge Plus Gaming Response Model (KPGRM) based on multiple-attempt responses. Specifically, we first measure the explicit gaming factor in each multiple-attempt response. Next, we utilize collaborative filtering methods to infer the implicit gaming factor of oneattempt responses. Then we model student learning cognitively by considering both gaming and knowledge factors simultaneously based on a signal detection model. Extensive experiments on two real-world datasets prove that KPGRM can model student learning more effectively as well as obtain a more reasonable analysis.

# Keywords

Educational Data Analytics, Intelligent Tutoring Systems, Context-Aware Web-based Learning, Gaming the System, Cognitive Analysis

#### 1. INTRODUCTION

One of the most important innovations in computer aided education during the past decade is intelligent tutoring systems (ITS) [8, 4, 5], which is designed for adaptively provid-

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ing learners with interactive and customized instruction or feedback. Nowadays, a huge number of ITS, like Carnegie Learning<sup>1</sup>, ASSISTments<sup>2</sup>, Knewton<sup>3</sup> and Smart Sparrow<sup>4</sup>, have been built for both novices and experts to learn and self-improve.

A key issue in educational scenarios is to cognitively model student learning from their responses to questions in learning systems, which aims at discovering the knowledge proficiency or learning ability of the students. Recently, one basic assumption about student learning that has been increasingly widely adopted [1, 34] is that: the response of students in learning systems is synthetically influenced by both knowledge learning, i.e. the proficiency levels of the related knowledge to learn, and gaming strategy, i.e. the ability to use the system itself and solve problems like guessing or retrying until correct. In other words, each response is assumed to involve one gaming factor, i.e. the extent to which one student is "gaming" during his/her response to one question. Studies [7, 19] from pedagogy has revealed the significant impacts of the gaming factor on students' learning performance. Therefore psychometricians developed a series of cognitive models [29, 3, 22] on examination data by considering the gaming factor as a fixed or question-side parameter for modelling student learning. Comparatively, educational data miners employed data mining techniques like feature engineering [37, 2, 14] to detect gaming behaviour in ITS.



Figure 1: A toy example of student responses in ITS.

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<sup>&</sup>lt;sup>1</sup>http://www.carnegielearning.com/

<sup>&</sup>lt;sup>2</sup>https://www.assistments.org/

<sup>&</sup>lt;sup>3</sup>https://www.knewton.com/

<sup>&</sup>lt;sup>4</sup>https://www.smartsparrow.com/

Despite the importance of the previous studies, there are some existing limitations. Most traditional cognitive models mainly focus on one-attempt response data, e.g. examination, which is quite different from the multiple-attempt response data encountered in the ITS context. Taking the toy example shown in Fig. 1, it can be seen that some students answer correctly on the first attempt (e.g. Student 2), which forms one-attempt responses (OAR); the others who fail on the first attempt, keep trying until correct (e.g. Student 3), forming multiple-attempt responses (MAR). Apparently utilising the first-attempt or one-attempt responses, the current psychometrical models are unable to capture a full view of the gaming factor for more precise analysis (e.g., it is hard to distinguish whether Student 2 is "gaming"). In contrast, MAR, which explicitly conveys the attempt details, can be analysed to obtain another view of the gaming factor (e.g., Student 3 is probably "gaming" by trying each of the possible answers). Moreover most educational data miners treat gaming behaviour in ITS as a classification task based on feature engineering, instead of cognitively modelling the students to discover their knowledge proficiency or learning ability. Thus, it is of significant importance to capture the full view of the gaming factors from MAR and then incorporate into the whole cognitive modelling process. To this end, there are several challenges: 1) how to measure the explicit gaming factor from MAR; 2) based on 1), how to further infer the implicit gaming factor from the existing OAR; and 3) how to cognitively model student learning by incorporating knowledge with the obtained gaming factors?

To address these challenges, we propose a *Knowledge Plus Gaming Response Model* (KPGRM) based on MAR to model student learning cognitively. Based on educational domain knowledge on the gaming behaviour, we adopt a P-value evidence based method to measure the gaming factor using four observable aspects and then aggregate them as the explicit gaming factor of MAR. Then we employ collaborative filtering techniques to indirectly infer the implicit gaming factor of OAR. Furthermore, a simple signal detection model is utilized to cognitively fuse both the knowledge and gaming impacts on student learning. Model parameters are estimated by a Markov Chain Monte Carlo (MCMC) means. The main contributions of this paper are as follows:

- To the best of our knowledge, this is the first comprehensive attempt at discovering implicit and explicit gaming factors and combining knowledge and gaming for student learning modelling to obtain more precise and reasonable cognitive analysis.
- We propose a cognitive model KPGRM, which employs educational domain knowledge and collaborative filtering for evidentially extracting the gaming factor from MAR and OAR, and links students' responses to knowledge proficiency based on a simple signal detection model.
- We design an effective MCMC sampling algorithm for parameter estimation and conduct extensive experiments on real-world datasets to verify the effectiveness of KPGRM.
- We analyse the reasonability of the extracted gaming factor as well as study the knowledge and gaming impacts on question difficulty based on KPGRM.

**Overview.** The rest of this paper is organized as follows. In Section 2, we introduce the related work on student learning modelling and gaming factor. In Section 3, we formally define our targeted issue. Section 4 details the whole framework of our KPGRM. Section 5 shows the experimental results to verify the effectiveness and reasonability of our approach. Conclusions are given in Section 6.

# 2. RELATED WORK

We introduce the existing related work from two aspects: student learning modelling and the gaming factor in ITS.

# 2.1 Student Learning Modelling

In educational psychology, many psychometrical models [13, 35] have been developed to mine students' knowledge proficiency level from responses to questions. These models can be roughly divided into two categories: continuous ones and discrete ones. The fundamental continuous models are item response theory (IRT) models [29, 3, 15], which characterize students by a continuous variable, i.e. knowledge ability, and use a logistic function to model the probability that a student will correctly solve a problem. For the discrete models, the basic method is *deterministic inputs*, noisy "and" gate model (DINA) [17, 22]. DINA describes a student by a latent binary vector which denotes whether (s)he has mastered the skills required by the problem with given prior information. In addition, some general approaches are proposed for either fusing the continuous and the discrete models [12] or incorporating more complex questions like free-response ones [39]. In ITS context, [9, 10] proposed Bayesian knowledge tracing (BKT) models based on hidden Markov models and [6] designed a variant of IRT model, learning factor analysis (LFA).

However, most of the current psychometrical models consider only the first-attempt responses and simply ignore the subsequent multiple-attempt ones hence, as shown in Fig. 1, valuable information is not fully exploited. In this paper we take into account multiple-attempt data to extract the gaming factor into modelling student learning.

## 2.2 Gaming Factor

Gaming-the-system or the gaming factor, which harms the effectiveness of learning systems to some extent, universally exists and also draw a lot of attention from educational and data mining fields. [7, 19] studied impacts of the gaming factor on students' learning performance via real-world experiments with pretests and posttests. Traditional psychometrical models [13] usually regard the gaming factor as guessing which is estimated by fixing a heuristic value (e.g. 1/#option) or parameterizing it from question-side information (e.g. 3PL-IRT [3]). With the richer features in ITS (e.g. activity logs), educational data miners have adopted some feature engineering methods [37, 2, 14] to detect gaming factor. Further, [20] takes into account response time and models student learning with the hidden motivation.

Nevertheless, the existing approaches to handle the gaming factor either simply view it as a detection task solved by classifiers, or model student learning with additional limited information from only the first-attempt responses. Here in this work we extract the gaming factor by utilising multipleattempt data and then incorporate into the whole cognitive modelling process.

### 3. PROBLEM FORMULATION

Suppose we have M students who answer N questions<sup>5</sup> in an ITS and R stands for all the responses where  $R_{ji}$  denotes Student *j*'s response to Question *i*. Here,  $R_{ji}$  is made up of an ordered sequence of tuples defined as:

$$R_{ji} = \{ \langle c_{jik}, r_{jik}, t_{jik} \rangle | k \in \mathbb{Z}, 1 \le k \le K_{ji} \},\$$

where  $c_{jik}$ ,  $r_{jik}$  and  $t_{jik}$  represent the actual content, the auto-generated label (1 or 0) indicating correct or not and the time stamp of the kth attempt of Student j to respond to Question i, respectively.  $K_{ji} \in \mathbb{Z}^+$  denotes the length of the response sequence of Student j on Question i. The key challenge and goal of our formulation is to effectively measure or infer the gaming factor of each response and model students' knowledge structure then precisely estimate the proficiency level of each student, where  $\theta_j \in \mathbb{R}$  represents the knowledge ability of Student j.

Table 1: Some important notations.

Notation	Description
$R_{ji}$	The response of Student $j$ to Question $i$
$\mathbf{R}^*$	The set of all the MAR
$r_{ji1}$	The label of the first-attempt response of Student $j$ to Question $i$
$\theta_i, \vartheta_i$	The knowledge and gaming ability of Student $j$
$\beta_i, \gamma_i$	The knowledge and gaming difficulty of Question $i$
$g_{ji}$	The gaming factor of Response $R_{ji}$

# 4. KNOWLEDGE PLUS GAMING RESPONSE MODEL

To better model students' knowledge structure and estimate their proficiency level with the multiple-attempt responses (MAR), in this section, we introduce the detail of our proposed KPGRM method. Fig. 2 shows the whole schema of our model framework. To be specific, we extract the gaming factor firstly from multiple-attempt (explicit) and one-attempt (implicit) responses and we model student learning by combining the knowledge and gaming factors. Tab. 1 lists some important notations and each step of KP-GRM is elaborated in the following subsections.



Figure 2: The framework of KPGRM.

### 4.1 Explicit Gaming Factor of MAR

Different from most traditional cognitive models which focus on only first-attempt responses, in this subsection, we take MAR into account to extract the gaming factor.

Here we give a formal definition to measure the explicit gaming factor from each MAR. According to the existing literature [11, 2], gaming behaviour could be represented by keep answering, systematically and quickly until an identified correct response allows the student to move to the next question. Based on the existing domain knowledge of gaming and the availability of current data, we mainly focus on four characteristics and assumptions when measuring the gaming factor from MAR: 1) the more attempts in one response, the higher the gaming factor of the relevant student ("keep answering"); 2) the less time taken to answer, the higher the gaming factor ("quickly"); 3) the more transitions in one response, the higher the gaming factor ("systematically"); 4) the higher the coverage of the given options, the higher the gaming factor ("systematically"). Thus we could define the explicit gaming factor for each MAR as an aggregation function of these four characteristics. Formally, given a MAR  $R_{ji}$  of Student j to Question i, we define the gaming factor from  $R_{ii} \in \mathbf{R}^*$  as

$$g_{ji} = F(Len(R_{ji}), Spd(R_{ji}), Trs(R_{ji}), Cov(R_{ji})), \qquad (1)$$

where  $g_{ji}$  denotes the gaming factor of the response of Student j to Question i and  $F(\cdot)$  is an aggregation function. Note that  $\mathbf{R}^* = \{R_{ji} | K_{ji} \geq 2\}$  is a set of all the MAR of all the students and questions. Here  $Len(R_{ji}), Spd(R_{ji}), Trs(R_{ji})$ and  $Cov(R_{ji})$  represent four characteristics from MAR  $R_{ji}$ , i.e. length of attempt sequence, speed of answering, transition and coverage of all given options through the whole sequence. For convenience of normalization and calculation, we adopt a statistical P-value based approach [40] to describe the four aspects of each MAR which is specified in detail as follows.

LENGTH. As mentioned previously, the larger length of MAR represents a higher gaming factor. Here  $K_{ji}$ , i.e. the length of the multiple-attempt response of Student j to Question i, is assumed to follow the Poisson distribution,  $K_{ji} \sim \mathcal{P}(\lambda_K)$ , where  $\lambda_K$  can be learned by the maximum-likelihood estimation (MLE) method from the observations in the given records. Then, we can define gaming evidence of length by

$$Len(R_{ji}) = 1 - P(\mathcal{P}(\lambda_K) \ge K_{ji}), \qquad (2)$$

where we can obtain the P-value by calculating  $P(\mathcal{P}(\lambda_K) \geq K_{ji})$ . Accordingly, a smaller P-value involving a longer attempt sequence means a higher gaming factor.

SPEED. As discussed previously, faster answering signifies a higher gaming factor. Here we use the average time of each attempt to capture the SPEED characteristics by

$$\bar{ts}_{ji} = \frac{t_{jiK_{ji}} - t_{ji1}}{K_{ji} - 1}.$$
(3)

where  $t_{jiK_{ji}}$  and  $t_{ji1}$  are the time stamps of the last and the first attempt. Here we assume that  $\bar{ts}_{ji}$ , i.e. the average time of MAR of Student j to Question i, follows the Gaussian distribution,  $\bar{ts}_{ji} \sim \mathcal{N}(\mu_{ts}, \sigma_{ts}^2)$ , where the parameter  $\mu_{ts}$  and  $\sigma_{ts}^2$  can be learned by the MLE method from the observations of  $\bar{ts}_{ji}$  in the given records. Then, we can define gaming evidence of speed by

$$Spd(R_{ji}) = 1 - P(\mathcal{N}(\mu_{ts}, \sigma_{ts}^2) \le \bar{ts}_{ji}). \tag{4}$$

where we obtain the P-value by calculating  $P(\mathcal{N}(\mu_{ts}, \sigma_{ts}^2) \leq \bar{ts}_{ji})$ . Similarly, a multiple-attempt response with a smaller value of this P-value has a higher gaming factor.

TRANSITION. As assumed previously, the more transitions mean a higher gaming factor. Here we assume that the

<sup>&</sup>lt;sup>5</sup>To simplify, we only focus on problems of multiple-choice questions involving one general topic.

transition  $tr_{ji}$ , i.e. the number of changes between attempts of MAR of Student j to Question i, follows the Poisson distribution,  $tr_{ji} \sim \mathcal{P}(\lambda_{tr})$ , where the parameter  $\lambda_{tr}$  and can be learned by the MLE method from the observations of  $tr_{ji}$ in the given records. Then, we can define gaming evidence of transition by

$$Trs(R_{ji}) = 1 - P(\mathcal{P}(\lambda_{tr}) \ge tr_{ji}).$$
(5)

where we obtain the P-value by calculating  $P(\mathcal{P}(\lambda_{tr}) \geq tr_{ji})$ . Similarly, a multiple-attempt response with a smaller value of this P-value has a higher gaming factor.

COVERAGE. As assumed previously, higher coverage of all given options implies a higher gaming factor. Here we assume that the coverage  $cov_{ji}$ , i.e. the percentage of options of MAR that Student j has tried to respond to Question i, follows the Gaussian distribution,  $cov_{ji} \sim \mathcal{N}(\mu_{cov}, \sigma_{cov}^2)$ , where the parameter  $\mu_{cov}$  and  $\sigma_{cov}^2$  and can be learned by the MLE method from the observations of  $cov_{ji}$  in the given records. Then, we can define gaming evidence of coverage by

$$Cov(R_{ji}) = 1 - P(\mathcal{N}(\mu_{cov}, \sigma_{cov}^2) \ge cov_{ji}).$$
(6)

where we obtain the P-value by calculating  $P(\mathcal{N}(\mu_{cov}, \sigma_{cov}^2) \geq cov_{ji})$ . Similarly, a multiple-attempt response with a smaller value of this P-value has a higher gaming factor.

After extracting the four aspects of evidence of the gaming factor, the next challenge is how to combine them, i.e. to figure out a proper function  $F(\cdot)$ . In fact, there are many supervised evidence aggregation methods in the literature [36, 16] which depend on labelled training data. For convenience, instead, we adopt an unsupervised aggregation approach based on the similarity between the extracted evidence.

Specifically, we choose a linear combination of all the evidences of MAR of Student j to Question i as the aggregation function  $F(\cdot)$  as follows:

$$F(\Phi_{ji}(1), \Phi_{ji}(2), \cdots, \Phi_{ji}(E)) = \sum_{e=1}^{E} \omega_e \Phi_{ji}(e), \text{ s.t. } \sum_{e=1}^{E} \omega_e = 1,$$
(7)

where  $\Phi_{ji}(e)$  denotes the *e*th evidence and  $\omega_e \in [0, 1]$  is the corresponding weight. Note that in our case E = 4 for our four defined pieces of evidence. Next we introduce our unsupervised method to learn the proper  $\{\omega_e\}$ .

Here, we adopt an intuitive assumption as *Consistent Bet*ter, which has been proved effective in many applications, for our evidence aggregation. To be specific, we assume that effective evidence should have a similar evidence score for each MAR, while poor evidence will produce different scores. Therefore, evidence that tends to be consistent with the majority of evidence will be assigned higher weights and evidence that tends to disagree will be assigned lower weights. Then we can measure the consistence of each evidence  $\Phi_{ji}(e)$ using the variance-like measure

$$\Delta_{ji}(e) = (\Phi_{ji}(e) - \bar{\Phi}_{ji})^2,$$
(8)

where  $\bar{\Phi}_{ji}$  is the average score of all the defined types of evidence. In line with *Consistent Better*,  $\Phi_{ji}(e)$  should be given a larger weight if  $\Delta_{ji}(e)$  is small. Thus, we can redefine the evidence aggregation problem as an optimization problem that minimizes the weighted variance of the evidence over all the MAR

$$\arg\min_{\omega} \sum_{R_{ji} \in \mathbf{R}^{*}} \sum_{e=1}^{E} \omega_{e} \Delta_{ji}(e), \qquad (9)$$
  
s.t. 
$$\sum_{e=1}^{E} \omega_{e} = 1; \forall \omega_{e} \in [0, 1].$$

Here, we employ a popular gradient based approach [23, 24] with exponentiated updating to solve this problem.

### 4.2 Implicit Gaming Factor of OAR

With the explicit gaming factor measured from MAR, in this subsection, we specify how to infer the implicit gaming factor of OAR for our KPGRM model.

Different from MAR with richer information, it is hard to distinguish the "gaming" OAR, which is usually implicit (e.g. a student may answer correctly by guessing on the first attempt). Here we determine the inference of the gaming factor of OAR by collaborative filtering (CF).

CF assumes that each user and each item are all related so that similar users have similar preferences while a user will likely like items that are similar to the currently preferred ones. In recommender systems, the key bridge connecting users and items is user-item interaction like consuming, which can be utilized to model preferences by CF [30]. Similarly, we could regard each student and question as a user and item then the gaming factor represents the interaction between users and items. Thus we redefine the gaming factor inference problem as the interaction prediction problem. There are lots of existing predictive methods like neighbourhood-based [38] and user/item-based CF [27]. However, these memory-based methods are more suitable for the top-N recommendation problem. For our case we adopt the latent factor model [28, 25] for our inference task due to the powerful prediction ability of this model-based method.

Specifically, we map each student and question into a new d-dimension space which depicts the latent psychological characteristics of students in the learning process and the corresponding latent properties of the questions. Formally, we use  $U \in \mathbb{R}^{m \times d}$  and  $V \in \mathbb{R}^{n \times d}$  to represent each student and question in the latent space, with column vectors  $U_j$  and  $V_i$  denoting latent feature vectors of Student j and Question i, respectively. A probabilistic linear model with Gaussian observation noise is adopted to define the conditional distribution over the explicit gaming factors as

$$P(G|U, V, \sigma_g^2) = \prod_{R_{ji} \in \mathbf{R}^*} \mathcal{N}(g_{ji}|U_j V_i^T, \sigma_g^2), \tag{10}$$

where  $G \in \mathbb{R}^{m \times n}$  is the gaming factor matrix consisting of the gaming factors of each student on each question and  $\sigma_a^2$  is the variance of the gaming factors.

 $\sigma_g^2$  is the variance of the gaming factors. Next we maximize the logarithm of the posterior likelihood over observations (i.e. the explicit gaming factor previously measured from MAR in Eq. (1)) by minimizing the following objective function to estimate U and V.

$$E = -\frac{1}{2} \sum_{R_{ji} \in \mathbf{R}^{*}} (g_{ji} - U_{j}V_{i}^{T})^{2} + \frac{\lambda_{U}}{2} \sum_{j=1}^{M} \|U_{j}\|^{2} + \frac{\lambda_{V}}{2} \sum_{i=1}^{N} \|V_{i}\|^{2},$$
(11)

where  $\lambda_U$  and  $\lambda_V$  are the regularization parameters. We adopt a stochastic gradient descent in U and V for optimization. Then the implicit gaming factor of all OAR can easily be inferred by learnt U and V.

To this point, we have proposed our method to extract the gaming factor from all the responses, either MAR or OAR, by a direct measure or an indirect inference. We can summarize it as the following equation:

$$g_{ji} = \begin{cases} F(Len(R_{ji}), Spd(R_{ji}), Trs(R_{ji}), Cov(R_{ji})) & \text{if } R_{ji} \in \mathbf{R}^*; \\ U_j V_i^T & \text{if } R_{ji} \notin \mathbf{R}^*. \end{cases}$$
(12)

### 4.3 Model Student Learning

With the gaming factor extracted from all the response, in this subsection, we incorporate the gaming factor into student learning modelling in a more reasonable way.

As discussed in the introduction, students can answer a question by either using genuinely learned knowledge or by simply gaming the system. However, most of the traditional learning models, which neglect attempts subsequent to the first one, do not capture the gaming factor for a more accurate estimate of students' knowledge ability. To mitigate this issue, we first extract the gaming factor of all the responses then model both knowledge and gaming ability simultaneously.

Specifically, inspired by much of the existing work from education and psychology [32, 22, 31], we adopt a simple signal detection model for our task to "detect" gaming factor  $g_{ji}$  from noisy observations, i.e. first-attempt response  $r_{ji1}$ . Then let us consider two extreme conditions:

1) the student answers the question correctly without any gaming factor, where we model student learning as follows:

$$\eta_{ji} \stackrel{\text{def}}{=} P(r_{ji1} = 1 | g_{ji} = 0) = \frac{1}{1 + \exp\{-(\theta_j - \beta_i)\}}.$$
 (13)

Here we adopt a simple one-parameter logistic IRT (1PL-IRT) model in which  $\theta_j$  and  $\beta_i$  represent the knowledge ability of Student j and the relevant difficulty of Question i, respectively. To be specific, remembering, understanding or mastering some knowledge topics (e.g. vocabulary and concepts) is a knowledge ability while the knowledge difficulty of one question depends on the related knowledge topics. Note that we choose  $\eta_{ji}$  to denote the probability.

2) the student answers correctly and completely by gaming, where we model student learning as follows:

$$\zeta_{ji} \stackrel{\text{def}}{=} P(r_{ji1} = 1 | g_{ji} = 1) = \frac{1}{1 + \exp\{-(\vartheta_j - \gamma_i)\}}.$$
 (14)

Similarly, we also choose a 1PL-IRT model in which  $\vartheta_j$ and  $\gamma_i$  represent the gaming ability of Student j and the relevant difficulty of Question i, respectively. Specifically, how to pick or guess the right answer quickly is a gaming ability while the gaming difficulty of one question usually is based on the question design including structure, description and option settings. Note that we also choose  $\zeta_{ji}$  to denote the probability.

Then, assuming the statistical independence of responses on each question conditioned on the students' ability [29, 22, 13], we employ Bernoulli distribution to model all the first-attempt responses, which are either right or wrong, as follows:

$$P(r_{ji1} = 1 | \theta_j, \beta_i, \vartheta_j, \gamma_i, g_{ji}) = \eta_{ji}^{1 - g_{ji}} \zeta_{ji}^{g_{ji}}, \qquad (15)$$

where  $\eta_{ji}$  and  $\zeta_{ji}$  stand for the probability that Student *j* responds to Question *i* correctly based on knowledge learning and gaming strategy, respectively. The model will degenerate as an ordinary IRT model using traditional settings without the consideration of gaming if  $g_{ji} = 0$ . Meanwhile, Eq. 15 can also be viewed as a variant of the non-compensatory bi-dimensional item response model [33] where gaming ability also serves as a kind of latent trait.

**Summary.** We first measure the explicit gaming factor from MAR and then infer the implicit gaming factor from OAR. Next based on a simple signal detection model, we fuse both the knowledge and gaming to model student learning. As shown in Fig. 3, what we can observe from Student j and



Figure 3: The graphic model of KPGRM.

Question *i* is the response  $R_{ji}$ , where we obtain the firstattempt response  $r_{ji1}$  and the extracted gaming factor  $g_{ji}$ . In this paper we model student learning from two aspects: knowledge, i.e. ability  $\theta_j$  and the relevant difficulty  $\beta_i$ , and gaming, i.e. ability  $\vartheta_j$  and the corresponding difficulty  $\gamma_i$ . As proposed previously, we assume that the response of each student to each question is affected by genuine knowledge learning  $\eta_{ji}$  and artful gaming strategy  $\zeta_{ji}$ .

### 4.4 Model Estimation

In this subsection, we introduce an effective training algorithm using MCMC for the proposed KPGRM model, that is, to estimate the unshaded variables in Fig. 3. Specifically, we assume the prior distributions of the parameters in KPGRM as follows:

$$\theta_{j} \sim \mathcal{N}(\mu_{\theta}, \sigma_{\theta}^{2}), \beta_{i} \sim \mathcal{N}(\mu_{\beta}, \sigma_{\beta}^{2});$$
(16)  
$$\vartheta_{j} \sim \mathcal{N}(\mu_{\vartheta}, \sigma_{\vartheta}^{2}), \gamma_{i} \sim \mathcal{N}(\mu_{\gamma}, \sigma_{\gamma}^{2}).$$

The functional forms of the prior distributions are chosen for convenience, and the associated hyperparameters are selected to be reasonably vague within the range of the realistic parameters. Then, the joint posterior distribution of  $\theta, \vartheta, \beta$  and  $\gamma$  given the responses R is as follows:

$$P(\theta, \vartheta, \beta, \gamma | R) \propto L(\theta, \vartheta, \beta, \gamma) P(\theta) P(\vartheta) P(\beta) P(\gamma).$$
(17)

where L is the joint likelihood function of KPGRM which, according to Eq. 15, is defined as follows:

$$L(\theta, \vartheta, \beta, \gamma) = \prod_{j,i} (\eta_{ji}^{1-g_{ji}} \zeta_{ji}^{g_{ji}})^{r_{ji1}} (1 - \eta_{ji}^{1-g_{ji}} \zeta_{ji}^{g_{ji}})^{1-r_{ji1}}.$$
 (18)

The full conditional distributions of the parameters given the observations and the rest of parameters are as follows:

$$P(\theta|R,\vartheta,\beta,\gamma) \propto L(\theta,\vartheta,\beta,\gamma)P(\theta), \tag{19}$$

$$P(\vartheta|R,\theta,\beta,\gamma) \propto L(\theta,\vartheta,\beta,\gamma)P(\vartheta), \tag{20}$$

$$P(\beta|R,\theta,\vartheta,\gamma) \propto L(\theta,\vartheta,\beta,\gamma)P(\beta), \tag{21}$$

$$P(\gamma|R,\theta,\vartheta,\beta) \propto L(\theta,\vartheta,\beta,\gamma)P(\gamma).$$
(22)

Finally, we propose a Metropolis-Hastings (M-H) based MCMC algorithm [18] for parameter estimation by Alg. 1. To be specific, we first randomize all the parameters as the initial values. Then, using observed responses R, we compute the full conditional probability of knowledge ability  $\theta$ , the relevant difficulty  $\beta$ , the gaming ability  $\vartheta$  and the corresponding difficulty  $\gamma$ . Next, the acceptance probability of the samples can also be calculated based on the M-H algorithm. In this way, we estimate the parameters with the MCMC formed through sampling.

Dataset	# Student	# Question	Avg #Attempt	Avg Response Time (sec)	Avg #Transition	Avg Coverage
Alone	140	16	2.44	35.03	1.89	0.43
Earth	164	14	2.31	39.56	1.38	0.60

Algorithm 1 Sampling algorithm for KPGRM.

**Input:** all the response R and gaming factor G

**Output:** samples of  $\theta, \vartheta, \beta, \gamma$ 

1: Initialize  $\theta_0, \vartheta_0, \beta_0, \gamma_0$  with random values

2: for  $t = 1, 2, \cdots, T$  do

Draw  $\theta_t \sim U(\theta_{t-1} - \delta_{\theta}, \theta_{t-1} + \delta_{\theta})$ , and accept  $\theta_t$  with the 3: probability:

 $\min\{1, \frac{L(\theta_t, \vartheta_{t-1}, \beta_{t-1}, \gamma_{t-1})P(\theta_t)}{L(\theta_{t-1}, \vartheta_{t-1}, \beta_{t-1}, \gamma_{t-1})P(\theta_{t-1})}\}.$ 

Draw  $\vartheta_t \sim U(\vartheta_{t-1} - \delta_{\vartheta}, \vartheta_{t-1} + \delta_{\vartheta})$ , and accept  $\vartheta_t$  with 4: the probability:  $L(\theta_{t-1}, \vartheta_t, \beta_t - 1, \gamma_{t-1}) P(\vartheta_t)$ 

$$\min\{1, \frac{L(\theta_{t-1}, \theta_{t-1}, \beta_{t-1}, \gamma_{t-1})L(\theta_{t})}{L(\theta_{t-1}, \theta_{t-1}, \beta_{t-1}, \gamma_{t-1})P(\theta_{t-1})}\}.$$

Draw  $\beta_t \sim U(\beta_{t-1} - \delta_{\beta}, \beta_{t-1} + \delta_{\beta})$ , and accept  $\beta_t$  with the 5: probability:  $L(\theta_{t-1}, \theta_{t-1}, \beta_t, \gamma_{t-1}) P(\beta_t) = L(\theta_{t-1}, \beta_t, \gamma_{t-1}) P(\beta_t)$ 

$$\min\{1, \frac{(t-1)(t-1)(t-1)(t-1)}{L(\theta_{t-1}, \theta_{t-1}, \beta_{t-1}, \gamma_{t-1})P(\beta_{t-1})}\}.$$

- 6: Draw  $\gamma_t \sim U(\gamma_{t-1} - \delta_{\gamma}, \gamma_{t-1} + \delta_{\gamma})$ , and accept  $\gamma_t$  with the probability:  $\min\{1, \frac{L(\theta_{t-1}, \vartheta_{t-1}, \beta_{t-1}, \gamma_t)P(\gamma_t)}{L(\theta_{t-1}, \vartheta_{t-1}, \beta_{t-1}, \gamma_{t-1})P(\gamma_{t-1})}\}.$
- 7:
- 8: return
- 9: end if
- 10: end for
- 11: return

#### 5. **EXPERIMENT**

We first prove the effectiveness of KPGRM against the baseline approaches by predicting student performance; then, we further conduct gaming factor and question difficulty analysis to demonstrate the reliability of our method.

#### 5.1 Setup

The real-world MAR datasets in our experiment are collected from Smart Sparrow<sup>6</sup> where students enrolled in different schools study two science courses Are we alone and *Earth.* To alleviate sparsity, we construct the datasets by filtering relatively inactive students and questions. Then we denote the two obtained datasets as Alone and Earth. Each of the datasets contains the actual response content, the label indicating correct or not and the time stamp of each student to each question at each attempt. A brief summary of each dataset is shown in Tab. 2. And Fig. 4 shows an overview of the two datasets, where each subfigure is a matrix depicting the number of attempts of each response, each row denotes a student and each column represents a question. The yellower one means more attempts of one response while the bluer one indicates less attempts.

For the prior distributions of the parameters in Alg. 1, we set the hyperparameters as follows:

$$\mu_{\theta} = 0, \sigma_{\theta}^2 = 1; \mu_{\beta} = 0, \sigma_{\beta}^2 = 2;$$
  
$$\mu_{\vartheta} = 0, \sigma_{\vartheta}^2 = 1; \mu_{\gamma} = 0, \sigma_{\gamma}^2 = 2.$$

In these experiments, we set the number of iterations to 5,000 and estimate the parameters based on the last 2,500samples to guarantee the convergency of the Markov chain.



Figure 4: Overview of the datasets.

#### 5.2 Model Evaluation

To evaluate the performance of our KPGRM in terms of cognitive modelling, we choose Predicting Student Performance, one of the key tasks in educational systems [21, 6], compared with some popular methods from psychometrics and data mining as baseline methods. We adopt three metrics from different perspectives: root mean square error (RMSE), classification accuracy (ACC) and area under an ROC curve (AUC).

Specifically, we employ 5-fold cross validation on each of the datasets where one of five folds is targeted for testing and the remaining parts for training in each pass. The baseline methods are as follows:

- *IRT*: [29, 3] a cognitive diagnosis method modelling students' latent traits and the parameters of questions such as difficulty.
- PMF: [28] probabilistic matrix factorization is a latent factor model projecting students and questions into a low-dimensional space.
- NMF: [26] non-negative matrix factorization is a latent non-negative factor model and can be viewed as a topic model.
- LFA: [6] an educational data mining model considering the different impacts of the defined knowledge factors on student performance.



Figure 5: The comparison of prediction performance.

<sup>&</sup>lt;sup>6</sup>https://www.smartsparrow.com/

For the purpose of comparison, we record the best performance of each algorithm by tuning their parameters and Fig. 5 shows the prediction results of our KPGRM and baseline approaches on the two datasets. We observe that, over all the datasets, KPGRM performs the best. Specifically, when considering cognitive assumptions ("knowledge plus gaming") it outperforms PMF and NMF, and when incorporating the gaming factor, it outperforms IRT and LFA. Of the baseline methods, IRT, as the classical psychometrical model, outperforms the others while LFA obtains a relatively poorer result by modelling only one general knowledge factor. In summary, considering the gaming factor, our KP-GRM captures the characteristics of students more precisely and it is also more suitable for real-world scenarios.

### 5.3 Gaming Factor Analysis

In addition to model evaluation, we conduct a cognitive analysis on the gaming factor. Firstly, we check the effectiveness of our method to extract the gaming factor. Due to the lack of ground truth, we adopt human coding [1] for indirect verification. Specifically, we randomly choose 20 MAR from Alone, and ask 11 volunteers (educational researchers and graduate students) to scrutinize the detail of each attempt of an MAR and allocate a gaming score (where 1, 0.5 and 0 denote "gaming", "not sure" and "no gaming", respectively). Achieving acceptable inter-rater reliability (Fleiss'  $\kappa = 0.73$ ), we compute the average AUC by considering MAR with a gaming score of 1, 0.5 and 0 as positive, neutral and negative case. Tab. 3 shows the results computed by  $Len(\cdot), Spd(\cdot), Trs(\cdot), Cov(\cdot)$  and our aggregated measure  $F(\cdot)$ . From this comparison we can observe that our aggregated measure for the gaming factor  $F(\cdot)$  is the most consistent with human coding.

Table 3:	The	comparison	with	human	coding.
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Measure	$Len(\cdot)$	$Spd(\cdot)$	$Trs(\cdot)$	$Cov(\cdot)$	$F(\cdot)$
avgAUC	0.958	0.723	0.932	0.945	0.966
(Fleiss' $\kappa = 0.73$ )					



### Figure 6: Two cases with different gaming factors.

Furthermore, we also study two cases with different gaming factors. As shown in Fig. 6, the question above comprises two steps which contain four and six options, respectively. The chosen option of each attempt and time spent (seconds) between each attempt of two students are also presented below. We can observe that Student 1 tries each of the given options of Step 2 systematically and quickly while being very sure of the correct answer of Step 1, hence the extracted gaming factor is 0.8341. On the contrary, Student 2 forgets to input the answer first and then spends a relatively longer time figuring out the correct options at the second attempt, hence the gaming factor is much lower at 0.1037. From the comparison of the two real-world cases we can see that the extracted gaming factor is very intuitive: the more significant gaming behaviour, the larger gaming factor.

### 5.4 Question Difficulty Analysis

Based on our KPGRM framework, we also analyse the question difficulty by considering gaming impacts. As discussed in Section 4.3, question difficulty comes from two aspects: knowledge learning and gaming strategy. It is of significant importance for learning systems to delicately design questions for eliminating gaming impacts and capturing the actual level of students for better personalized instruction. Fig. 7 shows the relationship between the two question properties, i.e. the number of steps and options<sup>7</sup>, and the two kinds of difficulties from *Alone*.



Figure 7: The relationship between two kind of difficulties and two question properties.

We can observe that the gaming difficulty of the questions with less steps or options is more likely to be lower, which means it is easier for students to solve by using an artful gaming strategy such as guessing. Pearson's  $\rho$  between the gaming difficulty and the number of steps and options of the questions is 0.7375 and 0.7287, respectively. On the other hand, the knowledge difficulty of the questions is not significantly related to the question properties. Pearson's  $\rho$ between the gaming difficulty and the number of steps and options of the questions is 0.3626 and 0.3254, respectively. The results conform to the intuition: the more complicated the design (including more steps or options), the higher the gaming difficulty of the question. Thus our KPGRM can also be utilized to target the questions with low gaming difficulty and improve the system design to enhance the effectiveness of the ITS.

### 5.5 Discussion

Note that the generic idea of our work is to build a cognitive model to discover the actual learning ability of students by distinguishing the effects of different factors, i.e. knowledge and gaming in the current scenario. Apparently student learning activity as a sophisticated cognitive process, involves a lot of psychological factors, which however, is out of the focus of this work. In practice, the outcome of our

<sup>&</sup>lt;sup>7</sup>Multiply the number of options of each step if the question has multiple steps.

model can be applied beyond the cognitive modelling itself, for example, for evaluating the ITS content design.

On the other hand, there is still room for improvement. Our KPGRM only considers four aspects of MAR to measure the gaming factor, so we will try to utilise more information to enhance the measurement. In addition, our KPGRM computes the gaming factor directly or indirectly and regards it as observed, and we will build a more robust model by modelling the gaming factor as partially observed. Furthermore, many more factors impacting student response are underexplored beyond knowledge and gaming.

### 6. CONCLUSION

In this paper, we designed a Knowledge Plus Gaming Response Model, KPGRM, to precisely explore the gaming factor in student learning based on MAR data. Specifically, we first measured the explicit gaming factor from MAR by an aggregated P-value based method and inferred the implicit gaming factor from OAR. Next, combining the extracted gaming factor, we constructed a novel signal detection response model to precisely describe student learning. Finally we conducted extensive experiments to prove the effectiveness of our method, cognitively analysed the gaming factor and studied the gaming difficulty of the questions. We expect this work could lead to more future studies.

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